

2661-

TO A NEW TTAL FORM		Application No.	0	9/812,770		
(to be used for all correspondence after initial filing)		Filing Date	N	March 20, 2001		
		First Named Inven	ntor I	Douglas C. Dah	***OF	-11 /-
		Art Unit	2	661	IECE	=1\//-
		Examiner Name	F	Pizarro, R.	NOV 2	6 200
Total Number of Pages in This Submiss	ion 11	Attorney Docket N	lumber 1	^{5685P091} Tec		
ENCLO	SUDES /obos	k all that anniv	<u>'</u>	150		-Chier
ENCLO	SURES (Cried	k all that apply)	- 1	A.C. A.U.		!
Fee Transmittal Form	Drawing(s)			to Group	ince Commu	inication
Fee Attached	Licensing-r	related Papers		Appeal Con of Appeals	nmunication and Interfere	to Board nces
Amendment / Response	Petition			Appeal Com		
After Final	Petition to Provisional	Convert a Application		Proprietary	Information	
Affidavits/declaration(s)	Power of A	ttorney, Revocation Correspondence Ac	n ddress	Status Lette	ir ,	
Extension of Time Request				Other Enclosure(s)		
Express Abandonment Request	Terminal D	isciaimer		(please iden	tify below):	
Information Disclosure Statement	Request for Refund			submission of formal drawings transmittal; copies of cited references.		amina
PTO/SB/08	CD, Number of CD(s)					opies
Certified Copy of Priority Document(s)						
Response to Missing Parts/		·				
Incomplete Application Basic Filing Fee	Remarks					
Declaration/POA	* ·					
Response to Missing						
Response to Missing Parts under 37 CFR 1.52 or 1.53					•	
SIGNATUR	E OF APPLICA	NT, ATTORNEY,	OR AGE	NT	•	
Firm Gordon R. Lind	leen III, Reg. 1	No. 33,192				
ndividual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP						
Signature Av. A July —						
John & Mille II						
Date 1 //17/	4					
CERTIF	ICATE OF MAIL	ING/TRANSMISS	SION			
I hereby certify that this correspondence is b sufficient postage as first class mail in an en 22313-1450.	eing deposited wi velope addressed	th the United States to: Commissioner f	Postal S for Patent	ervice on the dates, P.O. Box 1450	e shown belo , Alexandria	ow with , VA
Typed or printed name April Piepen	burg			· ·		
				and the second s		
Signature Colon:	DP.~	a hus as I	Dat	te Nove	mber 17, 2	004



FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

180.00

(\$)

	Complete if Known RECEIVE	
Application Number	09/812,770 1上し上1V日	U,
Filing Date	March 20, 2001 NOV 9 C 2004	
First Named Inventor	Douglas C. Dahlby NOV 2 6 2004	
Examiner Name	Pizarro, R. Tochnology Contact	^^
Art Unit	Pizarro, R. Technology Center 2	60(
Attorney Docket No.	15685P091	

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)	
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	DDITIO	NAL	FEES	5	
Deposit Account	Large	Entity	Sma	ll Entity	,	
	Fee	Fee	Fee	Fee	-	
Deposit Account 02-2666	Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Plakaly Sakaloff Taylor & Zafman I I P	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920 *	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 *	Requesting publication of SIR after	
Charge fee(s) indicated below, except for the filing fee					Examiner action	
to the above-identified deposit account	1251	110	2251		Extension for reply within first month	
FEE CALCULATION	1252	430	2252	215	Extension for reply within second month	
1. BASIC FILING FEE	1253	980	2253	490	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month Extension for reply within fifth month	
Code (\$) Code (\$)	1255	2,080 340	2255	1,040 170	Notice of Appeal	
1001 790 2001 395 Utility filing fee	1404	340	2401	170	Filing a brief in support of an appeal	
1002 350 2002 175 Design filing fee	1402 1403	300	2402	150	Request for oral hearing	
1003 550 2003 275 Plant filing fee	1403	1,510	2403	1,510	Petition to institute a public use proceeding	
1004 790 2004 395 Reissue filing fee	1452	110	2452	55	Petition to revive - unavoidable	
1005 160 2005 80 Provisional filing fee	1453	1,370	2453	685	Petition to revive - unintentional	
SUBTOTAL (1) (\$)	1501	1,370	2501	685	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	490	2502	245	Design issue fee	
2. EXTRA CLAIR FLES Extra Fee from Claims below Fee Paid	1503	660	2503	330	Plant issue fee	
Total Claims . 29" = X	1460	130	2460	130	Petitions to the Commissioner	
Independent X = X	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	-
Multiple Dependent	1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	1809	790	1809	395	Filing a submission after final rejection	
1202 18 2202 9 Claims in excess of 20	1003	. 20	1003		(37 ČFR § 1.129(a))	
1201 88 2201 44 Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 300 2203 150 Multiple Dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 88 2204 44 **Reissue independent claims over original patent	1801	900	1802	900	Request for expedited examination	[
1205 18 2205 9 **Reissue claims in excess of 20 and over	1	e (specify)	1002	300	of a design application	
original patent	0.1161.16	- (opooly)				
SUBTOTAL (2) (\$)						
**or number previously paid, if greater, For Reissues, see below	*Reduced	by Basic Filino	Fee Pak	1	SUBTOTAL (3)	180.00
SUBMITTED BY	-	-			Complete (if applicab	ole)
Name (Print/Type) Gordon R. Lindeen III		egistratic		7	3.192 Telephone (303) 740-	

Docket No.: 15685P091

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

NOV 2 2 2004

Douglas C. Dahlby, et al.

Application No.: 09/812,770

Filed: March 20, 2001

For: Opening a Communications Stream

Between a User Terminal and a Base

Station

_OLIV LL

NOV 2 6 2004

Art Group: 2661 Technology Center 2600

Examiner: Pizarro, R.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1-

180.00 OP

15685P091

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOEF, TAYLOR & ZAFMAN LLP

Gordon R. Lindeen III, Reg. No. 33,192

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (303) 740-1980

Date: 11/17/4

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April Piepenburg

11-17-04

Date

NOV 2 2 2004

Substitute for form 1449A/PTO INFORMATION DISCLOS STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known					
Application Number	09/812,770	1			
Filing Date	March 20,2001	L			
First Named Inventor	Douglas C. Danloy LV	V			
Art Unit	2661	1			
Examiner Name	Pizarro, R. NOV 2 6 2004				
Attorney Docket Number	15685P091 Technology Center 2	enn			
	- recultioningly of the Z	ρυυ			

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-2002/0082033	06-01-2002	Lohtia et al.			
		US-5,878,056	03-01-1999	Black et al.			
		US-6,167,248	12-01-2000	Hamalainen et al.			
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

	FOREIGN PATENT DOCUMENTS							
Examiner Ci	Cite	Foreign Patent Document			Pages, Columns, Lines,			
Initials*	No.1	Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
	ļ							
	<u> </u>							
					•			
	-							

Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Por Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible applicant is to place a check mark here if English language Translation is attached.